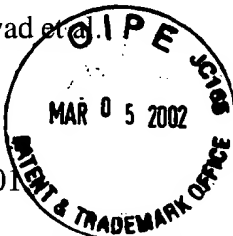


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Sujit V. Gaikwad et al. Examiner: Unknown
Serial No.: 09/934,059 Group Art Unit: 2631
Filed: August 21, 2001 Docket No.: 1001.1119101 (H0001511)
For: AUTO-TUNING CONTROLLER USING LOOP-SHAPING



TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
MAR 11 2002
Technology Center 2600

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 26th day of February, 2002.

By Brian N. Tufte

We are transmitting herewith the attached:

- ☐ A check in the amount of \$_____ is enclosed.
- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- ☐ Other: Information Disclosure Sheet, 1449 and 24 cited references.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: Brian N. Tufte

Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South
Suite 895
Minneapolis, Minnesota 55401-2246
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Sujit V. Gaikwad et al.

Serial No.: 09/934,059

Filed: August 21, 2001

For:

AUTO-TUNING CONTROLLER USING LOOP-SHAPING

Docket No.: 1001.1119101 (H0001511)



Examiner: Unknown

Group Art Unit: 2631

RECEIVED

MAR 11 2002

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this ²⁴~~26~~ day of February, 2002.

By _____

Brian N. Tufte

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F. R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

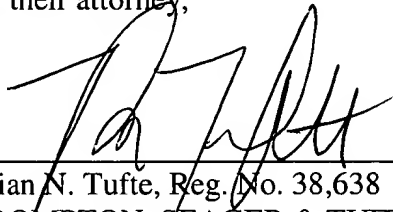
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Sujit V. Gaikwad et al.

By their attorney,

Dated: February 26, 2002



Brian N. Tufte, Reg. No. 38,638
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